# Neutrino Physics: Open Theoretical Questions

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- What we have learned?
- Open theoretical questions
- Bottom-up
- How we might go...

# **1. What we have learned?**

Neutrino masses and lepton mixing: Summary



#### P.de Holanda, A.S.



Lines of constant CC/NC ratio and Day-Night asymmetry at SNO

#### Best fit point:

 $\Delta m_{12}^{2} = 7 \ 10^{-5} \ eV^{2}$  $\tan^{2}\theta_{12} = 0.4$  $\sin^{2}\theta_{13} \sim 0$ 



### Any problem?

- ~ 2 higher Ar-production rate than Homestake result
- Absence of the upturn of the spectrum

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### Survival probability

Light sterile neutrino  $R_{\Delta} = \Delta m_{01}^2 / \Delta m_{21}^2$   $\alpha$  - mixing angle of sterile neutrino

Dip in the survival probability:

- reduces the Ar-production rate
- suppresses the upturn of spectrum

Motivation for the low energy solar neutrino experiments BOREXINO, KamLAND MOON, LENS ...



P. de Holanda, A.S.



#### SuperKamiokande:



 $\Delta m_{32}^{2} = (1.3 - 3.0) \ 10^{-3} \ eV^{2}$  $\sin^{2} 2\theta_{23} > 0.9$ 

(90 % C.L.)

Confirmed by MACRO, SOUDAN K2K

Combined analysis of CHOOZ, atmospheric (SK) and solar data:

 $\sin^2 2\theta_{13} < 0.067 \ (3\sigma)$ 

G.L. Fogli et al, hep-ph/p0308055

# LNA oscillations of atmospheric neutrinos

Excess of the e-like events in sub-GeV

 $\frac{F_{e}}{F_{e}^{0}} - 1 = P_{2}(r c_{23}^{2} - 1)$ 

``screening factor"

 $P_2 = P(\Delta m_{12}^2, \theta_{12})$  is the 2v transition probability

In the sub-GeV sample  $r = F_{\mu}^{0} / F_{e}^{0} \sim 2$ 

The excess is zero for maximal 23- mixing

Searches of the excess can be used to restrict deviation of the 2-3 mixing from maximal

Zenith angle and energy dependences of the e-like events



## **Conversion of neutrinos from SN1987A**

After KamLAND: one must take into account conversion effects of supernova neutrinos

$$F(\overline{v_e}) = F^0(\overline{v_e}) + p \Delta F^0$$

- p is the permutation factor
- $\Delta F^0 = F^0(\overline{\nu_{\mu}}) F^0(\overline{\nu_e})$
- p depends on distance traveledby neutrinos inside the earth to a given detector:

 $d = \begin{cases} 4363 \text{ km} & \text{Kamioka} \\ 8535 \text{ km} & \text{IMB} \\ 10449 \text{ km} & \text{Baksan} \end{cases}$ 

The earth matter effect can partially explain the difference of Kamiokande and IMB: spectra of events



Normal hierarchy is preferable
 H. Minakata, H. Nunokawa,
 J Bahcall, D Spergel, A.S.



F. Feruglio, A. Strumia, F. Vissani



Sensitivity limit

$$m_{ee} = \Sigma_k U_{ek} m_k e^{i\phi(\kappa)}$$

Both cosmology and double beta decay have similar sensitivities

Kinematic searches, cosmology



Type of mass spectrum: with Hierarchy, Ordering, Degeneracy absolute mass scale
 Type of the mass hierarchy: Normal, Inverted
 U<sub>e3</sub> = ?



$$\mathbf{U}_{\mathrm{PMNS}} = \begin{pmatrix} \mathbf{U} \\ \mathbf{U} \\ \mathbf{U} \\ \mathbf{U} \end{pmatrix}$$

$$\begin{array}{c}
U_{e3} \\
U_{\mu3} \\
U_{\tau3}
\end{array} = \begin{pmatrix}
0.79 \\
0.24 \\
0.26
\end{array}$$

U<sub>e2</sub>

 $U_{\mu 2}$  $U_{\tau 2}$  0.79 - 0.860.50 - 0.610.24 - 0.520.44 - 0.690.26 - 0.520.47 - 0.71

#### Global fit of the oscillation data $1\sigma$

0.0 - 0.16 0.63 - 0.79 0.60 - 0.77 M.C. Gonzalez-Garcia , C. Pena-Garay



Can we reconstruct the triangle? Can we use it to determine the CP-violating phase? Y. Farsan, A.S.

Problem: coherence (we deal with coherent states and not mass eigenstates of neutrinos)

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Ultimate oscillation anomaly?

CPT-violation After KamLAND: G. Barenboim, L. Borissov, J. Lykken

> Disfavored by atmospheric neutrino data, no compatibility of LSND and all-but LSND data below 3 $\sigma$ -level

M.C. Gonzalez-Garcia, M. Maltoni, T. Schwetz

### Sterile neutrino (3 + 1)-scheme (3 + 2) ?

O. Peres, A.S. M. Sorel, J. Conrad, M. Shaevitz

### Non-standard Interactions

K.Babu, S Pakvasa

Disfavored by a new analysis of KARMEN collaboration

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The problem is



Restricted by short baseline experiments CHOOZ, CDHS, NOMAD  $2 - 3\sigma$  below the observed probability

Generic possibility of interest even independently of the LSND result

Generation of large mixing of active neutrinos due to small mixing with sterile state

Produces uncertainty in interpretation of results





FINeSE

Smallness of masses:

lain teatures

- Hierarchy of mass squared differences:
- No strong hierarchy of masses:

 $|\Delta m_{12}^2 / \Delta m_{23}^2| = 0.01 - 0.15$ 

 $m_v < (1 - 2) eV$ 

$$|\Delta m_{12}^2 / \Delta m_{23}^2| = 0.18 + 0.22 - 0.08$$

 $m_v > \sqrt{\Delta m_{23}^2} > 0.04 \text{ eV}$  (at least for one mass)

 Bi-large or large-maximal mixing between neighboring families (1-2) (2-3):

Small mixing between remote families (1-3):



 $m_v \ll m_l, m_a$ 



# 2. Open theoretical questions

# What does all this mean?

(results on neutrino masses and mixing)

## Old....

### What is the origin of neutrino mass?

- we do not know yet the origin of quark and charged lepton masses where information is more complete
- for neutrinos the problem can be even more complex
- the hope is that neutrinos can shed some light on whole problem of fermion masses
- Why neutrino masses are small?
  - small in comparison with charged leptons and quarks masses
  - what are relations with other mass scales in nature?
    - e.g., dark energy scale?



## How the observed pattern of the lepton mixing is generated?

- two large mixings and one small (zero)?
- one maximal mixing?
- what are relations between mixing angles?

### Why the lepton mixing is large? Why it is so different from quark mixing?

 may be correct question is why the quark mixing is so small? In quark sector the smallness of mixing can be related to strong mass hierarchy

### Do neutrinos show certain flavor or horizontal symmetry?

- if so, is this symmetry consistent with quark masses and mixing?

- ad hoc introduced symmetries for neutrinos only do not look appealing

# Are results on neutrino masses and mixing consistent with

- quark-lepton symmetry?
- Grand Unification?

# If new light (sterile) neutrino(s) exist what is their nature? why they are light? Experimental and phenomenological problem

# What are implications of the neutrino results for

- Vice Versa
- GUT
  SUSY
  Extra Dimensions?
  Strings

# What these theories can tell us about neutrinos?





## **Normal hierarchy**



 $m_3 / m_2 = 5$  $m_1 = 0.006 \text{ eV}$  $\sin^2 2\theta_{23} = 1$  $\sin\theta_{13} = 0.1 \quad \delta = 0$  $\begin{pmatrix} 0 & 0 & \lambda \\ 0 & 1 & 1 \\ \lambda & 1 & 1 \end{pmatrix}$ a). b). c).  $\lambda \sim 0.2$  $\begin{pmatrix} q^4 & q^3 & q^2 \\ q^3 & q^2 & q \\ q^2 & q & 1 \end{pmatrix}$  $q \sim 0.7$ 

### **Normal ordering**



$$\frac{m_3 / m_2 = 2}{m_1 = 0.027 \text{ eV}}$$
  

$$\frac{\sin^2 2\theta_{23}}{\sin \theta_{13}} = 0.1 \quad \delta = 0$$

Flavor alignment

$$\begin{pmatrix}
q^{4} & q^{3} & q^{2} \\
q^{3} & q^{2} & q \\
q^{2} & q & 1
\end{pmatrix}$$

$$q \sim 0.7$$



 $m_3 / m_2 = 1.01$  $m_1 = 0.35 \text{ eV}$  $\sin^2 2\theta_{23} = 1$  $\sin\theta_{13} = 0.1 \quad \delta = 0$ a).  $\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ b). 1 1 1 1 c). 1 1 1

### **Inverted ordering**



$$\frac{m_3 / m_2 = 0.5}{m_3 = 0.029 \text{ eV}}$$
  

$$\frac{\sin^2 2\theta_{23} = 1}{\sin\theta_{13} = 0.1 \quad \delta = 0}$$



 $\frac{m_3 / m_2 = 0.1}{m_3 = 0.005 \text{ eV}}$  $\frac{\sin^2 2\theta_{23}}{\sin \theta_{13}} = 0.1 \quad \delta = 0$ 

a). 
$$\begin{pmatrix} 0.7 & 1 & 1 \\ 1 & 0.1 & 0.1 \\ 1 & 0.1 & 0.1 \end{pmatrix}$$

b).  $\begin{pmatrix} 1 & < 0.1 & < 0.1 \\ < 0.1 & 0.5 & 0.5 \\ < 0.1 & 0.5 & 0.5 \end{pmatrix}$ 



- 1). Large variety of different structures is still possible, depending strongly on unknown  $m_1$ , type of mass hierarchy, Majorana phases  $\rho$  and  $\sigma$ , weaker dependence is on  $\sin\theta_{13}$  and  $\delta$ .
  - 2). Generically the hierarchy of elements is not strong: within 1 order of magnitude. Although, matrices with one or two zeros are possible.
    - 3). Structures (in the flavor basis):
      - with dominant diagonal elements (~ I ) , or dominant  $\mu\tau$ -blow
      - with dominant e-row elements, (ee-,  $\mu\tau$ -,  $\tau\mu$ -) elements, et
      - democratic structures,
      - with flavor alignment,
      - non-hierarchical structures with all elements of
      - with flavor disordering,
      - with zeros and various equalities of matrix elements.
      - 4). Typically hierarchical structures appear for  $\rho$  and  $\sigma$  near 0,  $\pi/2,\pi$

5). The structures can be parameterized in terms of power of small parameter  $\lambda = 0.2 - 0.3$  consistent with Cabibbo mixing

L.Hall, H. Murayama, A.de Gouvea, F.Vissani, G. Altarelli, F. Feruglio, J.R. Espinosa



Do neutrino results on masses and mixing or the neutrino mass matrix show some symmetry?

Is the neutrino mass matrix consistent with symmetries suggested for quarks?



Treat quarks and leptons differently

in the Froggatt-Nielsen context can describe mass matrices both quarks and leptons. U(1) charges: discrete free parameters, also coefficients ~O(1) in front of

Complicated higgs sector to break symmetry too restrictive...



## **Neutrality and mass**

Minimalistic approach:

Relate features of the neutrino masses and mixingof new<br/>conceptswith already known difference of neutrino<br/>and quarks and charged leptons.Image: Concept co

Neutrality  $Q_{r} = 0$   $Q_{c} = 0$ Right handed components, if exists, are singlet of

SU(3) x SU(2) xU(1) Unprotected by this symmetry possibility to be a Majorana particle (Majorana mass term)

Can mix with singlets of the SM symmetry group

Can propagate in extra dimensions

Can have large Majorana masses M <sub>R</sub> >> V<sub>EW</sub>

q - 1,  $SU(2)_L x U(2)_R x U(1)_{B-L}$ 

Properties of mass spectrum and mixing

Minimal

number

Is this enough?

SeesawT. Yanagida  
M. Gell-Mann, P. Ramond, R. Slansky  
S. L. Glashow  
R.N. Mohapatra, G. Senjanovic
$$\begin{pmatrix} 0 & m_D \\ m_D & M_R \end{pmatrix}$$
 $m_v = -m_D^T M_R^{-1} m_D$ (type I)  
 $m_D = Y v_{EW}$  $M_R = f_S < S > = f_S v_R$ 

If the SU(2) triplet,  $\Delta_{\rm L}$ , exists with interaction  $f_{\Delta} l^{\rm T} l \Delta_{\rm L}$  + h.c., then  $f_{\Delta} l^{\rm T} l \Delta_{\rm L}$  + h.c.

$$m_v = m_L - m_D^T M_R^{-1} m_D$$
 (type II)

If  $\Delta_{\rm L}$  is heavy, induced VEV due to the interaction with doublet  $<\Delta_{\rm L}> = <{\rm H}>^2/{\rm M}$ 

In SO(10):  $\Delta_L$  and S are in the same 126,  $f_{\Delta} = f_S = f$ 

$$m_v = f \lambda \frac{v_{EW}^2}{v_R} - m_D^T f^{-1} m_D = \frac{v_{EW}^2}{v_R} (f \lambda - Y^T f^{-1} Y)$$



## Variations on the theme

The number of the RH neutrinos can differ from 3

### Less than 3 .... **3x2-seesaw**

(two RH neutrinos)

limit when one of  $v_R$  is very heavy  $M \sim M_{Pl}$ motivated by horizontal  $SU(2)_{H}$ 

one massless neutrino less number of parameters

### More than 3 .... **Double seesaw**

Three additional singlets S which couple with RH neutrinos

$$m_v = - m_D^T M_D^{-1T} \mu M_D^{-1} m_D$$

Beyond SM: many heavy singlets ...string theory

R.N. Mohapatra J. Valle

 $\mu \ll M_D$  $\mu >> M$ 

allows to lower the scales

 $\mu \sim M_{GU}$ ,  $M \sim M_{Pl}$  explains intermediate scale

## **Grand Unification and neutrino Mixing**

GUT provide large scale comparable to the scale of RH neutrino masses

One can argue that GUT (+ seesaw) can naturally lead to large lepton mixing, or inversely, that large lepton mixing testifies for GUT





Strong hierarchy of the quark and charged lepton masses
To this deeperie lies (masses)

In this scenario  $m_D = diag(m_u, m_c, m_t)$ 

 $m_v = m_L - m_D^T M_R^{-1} m_D$ 

Then for generic  $M_{\rm R}$  the seesaw of the type I  $\,$  produces strongly hierarchical matrix with small mixing



Type II seesaw: no dependence on m<sub>D</sub>

Special structure of  $M_R$  which compensate strong hierarchy in  $m_D$ 

Substantial difference of Dirac matrices of quarks and leptons m<sub>D</sub>(q)  $\neq$ = m<sub>D</sub>(l)



## Masses of RH neutrinos



Leptogenesis gives strong restrictions

In the hierarchical case the lower bound on the lightest mass

 $M_1 > 4 \ 10^8 \ GeV$ 

W. BuchmullerP. di Bari M. Plumacher,S. Davidson, A. Ibarra

Only in particular cases with strong degeneracy:  $M_1 = M_2$ required asymmetry can be produced

E. Kh. Akhmedov, M.Frigerio, A.S.





In another version it may coincide with seesaw enhancement: Single RH neutrino dominance is realized when other RH neutrinos are heavy = strong hierarchy

**Lopsided**" Models K. Babu, S.M. Barr, C.H. Albright, J.Sato, T. Yanagida, N. Igres, S. Lavignac, Large mixing follows from charged lepton mass matrix P.Ramond Non-symmetric mass matrices No contradiction with GUT: in SU(5): LH components of leptons are unified with RH components of quarks:  $5 = (d^c, d^c, d^c, l, v)$  $\square m_{\rm D} = m_{\underline{u}} \begin{pmatrix} \eta & 0 & 0 \\ 0 & 0 & \varepsilon \\ 0 & 0 & \varepsilon \end{pmatrix}$  $m_{1} = m_{d} \begin{pmatrix} 0 & \delta & d' \\ \delta & 0 & \sigma + \varepsilon \\ \delta' & -\varepsilon & 1 \end{pmatrix} \qquad \mu \qquad Single \\ \tau \qquad lopsided$  $\eta \ll \delta, \delta' \ll \varepsilon$   $\sigma \sim 1$ 

- Also possible in SO(10) if it is broken via SU(5)
- Double lopsided (for both large mixings) K. Babu, S. Barr

Hybrid possibilities: large 2-3 mixing from charged lepton mass matrix large 1-2 mixing from neutrino mass matrices

## **Radiative enhancement of mixing**

Mixing is small at the Unification scale (similar to quark mixing) running to low energies — enhancement of mixing.

**Requirement:** 

e.g.

nt: Large mixing
Quasi-degenerate spectrum  $\frac{d \sin \theta_{23}}{dt} \sim (\sin \theta_{12} U_{\tau 1} D_{31} - \cos \theta_{12} U_{\tau 2} D_{32})$  C.N. Leung J. Pantaleone P. Chankowski M. Pluciniek, J. Ellis, S. Lola, J. Casas,

K. Babu.

M.Lindner. .... Enhancement when neutrinos

become more

degenerate

 $t = 1/8\pi^2 \log q/M$   $D_{ij} = (m_i + m_j)/(m_i - m_j)$ 

Requires fine tuning of the initial mass splitting and radiative corrections

■ In MSSM both 1-2 and 2-3 mixings can be enhanced. In SM? J.A.Casas,

If masses from Kahler potential: large mixing infrared fixed point

Generation of small elements ratiatively:  $\Delta m_{12}^2$ ,  $\sin \theta_{13}$  S. Petcov, A.S. A. Joshipura M. Lindner

J.A.Casas, J.R. Espinosa I. Navarro

## How to test Seesaw?

How to test existence of the heavy Majorana RH neutrinos?

### Leptogenesis

For hierarchical RH neutrino spectrum gives bound on

M. Fukugita, T. Yanagida

- Mass of the lightest RH neutrino  $M_{1R}$
- Effective parameter m<sub>1</sub>
   which determines the washout effect
   Probe of (Y Y<sup>+</sup>)<sub>ij</sub>

W. BuchmullerP. di BariM. Plumacher

$$M_{1D} > 4 \ 10^8 \text{ GeV}$$

 $m_{\nu} < 0.1 \text{ eV}$  excluding degenerate spectrum (?)

### for type II seesaw: still possible

G.Senjanovic T. Hambye

Renormalization effects of RH neutrinos

Renormalization effects between the scale  $M_{iR}$  and GUT F. Vissani, A.S., e.g., on  $m_b - m_\tau$  mass relation F. Vissani, A.S., H. Murayama, R. Rattazzi A. Brignole



Superpotential

$$W_{lep} = e^{c T} Y_e I H_1 + v^{c T} Y I H_2 + \frac{1}{2} v^{c T} M_R v^c$$

Structures relevant for seesaw  $(Y, M_R)$ 

structure of SUSY (slepton) sector

Assumptions:1). Universal soft masses<br/> $(m_0^2, A_0)$  at high scale  $M_X$ 2). No new particles apart<br/>from those in MSSM

1nto

Contribution to the low energy left handed slepton mass matrices:

$$(m_S^2)_{ab} = m_a^2 \delta_{ab} - \frac{1}{8\pi^2} (3 m_0^2 + A_0^2) (Y^+)_{ai} (Y)_{ib} \log(M_X/M_{iR})$$

diagonal part

A.Masiero, F.Borzumatti L.J. Hall, V.A.Kostelecky, S. Raby F. Gabbiani, E Gabrielli, L. Silvestrini







$$m_{S}^{2})_{\mu e} = \frac{1}{8\pi^{2}} (3 m_{0}^{2} + A_{0}^{2}) (Y^{+})_{\mu i} (Y)_{i e} \log(M_{X}/M_{i R})$$

If large lepton mixing originates from the Dirac matrix (lopsided models, versions of SRHN dominance)  $(Y)_{\mu i}$ ,  $(Y)_{ie}$  are large

B(
$$\mu \rightarrow \gamma e$$
) ~ 10<sup>-11</sup> - 10<sup>-12</sup>

At the level of present bound

$$B(\mu \to \gamma \ e) = \frac{\alpha^3 \ |(m_S^2)_{\mu e}|^2 \ \tan^2\beta}{G_F^2 m_s^8}$$

 $m_s = m_s (m_0, m_{1/2})$ effective SUSY mass parameter

> A.Masiero, F.Borzumatti F. Gabbiani, E Gabrielli, L. Silvestrini Beyond leading log: S. Petcov, S.Profumo, Y. Takanishi, C.E. Yaguna

# Other mechanisms

Radiative mechanims

**Bi-linear R-parity violation** 

### **Extra Dimensions**

Zee (one loop, generalized) Zee-Babu (two loops) Trilinear R- violating couplings

Large extra D (ADD) Warped extra D (RS) Infinite extra D (Dvali-Poratti)

Dynamical symmetry breaking Technicolor

**Little Higgs** 

Deconstruction

Can accommodate neutrino masses produce some interesting features



Main open question: what is behind obtained results? Preference? Probably seesaw, and probably associated with Grand Unification. Although other mechanisms are not excluded and can give important or sub-leading contributions. Enormous progress in determination of the neutrino masses and mixings, studies of properties of mass matrix. Still large freedom in possible structures exists which leads to very different interpretations.

How to check our ideas about neutrinos? Future experiments will perform precision measurements of neutrino parameters. Apart from that we will need results from non-neutrino experiments:

- from astrophysics and cosmology
- from searches for proton decay and rare decays
- from future high energy colliders.